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| FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>(REV. 7-2005)  |   | ATTORNEY'S DOCKET NUMBER<br>1878.019 (SJW/lhd/48284)                |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371   |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>10/580529</b> |
| INTERNATIONAL APPLICATION NO.<br>PCT/GB2004/004954   | INTERNATIONAL FILING DATE<br>24 November 2004 | PRIORITY DATE CLAIMED<br>24 November 2003                           |
| TITLE OF INVENTION<br><br>DENTAL DEVICE AND METHOD FOR ITS USE   |   |   |
| APPLICANT(S) FOR DO/EO/US<br><br>DUNSTAN-MAHER   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. EV 834383513 US</li> </ol> |   |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|---|--------------|--|------------|---|----------|
| U.S. APPLICATION NO (if known, see 37 CFR 1.5)<br><b>10/580529</b>  |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/GB2004/004954</b>              |            | ATTORNEY'S DOCKET NUMBER<br><b>1878.019 (SJW/lhd/48284)</b> |          |
| 23. Other items or information:<br>1) Return-Receipt Postcard; and<br>2) EFS Appl. Data Sheet (2 pages)   |              |  |            |   |          |
| The following fees have been submitted:   |              |  |            | <b>CALCULATIONS PTO USE</b>                                 |          |
| 24. <input checked="" type="checkbox"/> Basic national fee . . . . .  |              |  |            | \$  | \$300.00 |
| 25. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))<br>If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0<br>All other situations. . . . . \$200  |              |  |            | \$  | \$200.00 |
| 26. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))<br>If the written opinion of the ISA/US or the International preliminary examination report by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). . . \$0<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the as an International Searching Authority. . . . . \$100<br>International Search Report prepared by an ISA other than the US and provided to the previously communicated to the US by the IB. . . . . \$400<br>All other situations. . . . . \$500 |              |  |            | \$  | \$400.00 |
| <b>TOTAL OF 24, 25 and 26 =</b>   |              |  |            | \$  | \$900.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.   |              |  |            |   |          |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) | RATE       |   |          |
| 23 - 100 =  | 0 /50 =      | 0  | x \$250.00 | \$  | \$0.00   |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).   |              |  |            | \$  |          |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA   | RATE       |   |          |
| Total claims  | 12 - 20 =    | 0  | x \$50.00  | \$  | \$0.00   |
| Independent claims  | 1 - 3 =      | 0  | x \$200.00 | \$  | \$0.00   |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00   |              |  |            | \$  | \$0.00   |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |            | \$  | \$900.00 |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.   |              |  |            | \$  | \$450.00 |
| <b>SUBTOTAL =</b>   |              |  |            | \$  | \$450.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |              |  |            | \$  | \$0.00   |
| <b>TOTAL NATIONAL FEE =</b>   |              |  |            | \$  | \$450.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +   |              |  |            | \$  | \$0.00   |
| <b>TOTAL FEES ENCLOSED =</b>  |              |  |            | \$  | \$450.00 |
|   |              |  |            | Amount to be  | \$       |
|   |              |  |            | Amount to be  | \$       |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

- a. ☒ A check in the amount of \$ \$450.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1935. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

VICTOR A. CARDONA

NAME

44,589


REGISTRATION NUMBER

May 24, 2006

DATE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"****Applicant:** DUNSTAN-MAHER**IAP9 Rec'd PCT/PTO 24 MAY 2006****Serial No.** Unassigned**Filing Date:** Filed Herewith**Title:** DENTAL DEVICE AND METHOD FOR ITS USE**"EXPRESS MAIL" MAILING LABEL NO.: EV 834383513 US***Date of Deposit: May 24, 2006*

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to MAIL STOP PCT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VIRGINIA 22313-1450

***DARLENE E. NOVAK****(Typed or printed name of person mailing paper or fee)*

*(Signature of person mailing paper or fee)***DOCUMENTS INCLUDED WITH THIS  
CERTIFICATE OF EXPRESS MAIL: EV 834383513 US**

- \* One (1) Acknowledgment Postcard;
- \* Check in the amount of \$450.00;
- \* Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Submission Under 35 U.S.C. 371 (3 pages);
- \* Copy of International Publication Number WO 2005/051219 A1, published 9 June 2005, including cover pages (2 pages), Specification and 11 Claims (12 pages), Formal Drawings (FIGS. 1-6 / 5 pages); and International Search Report (4 pages) (23 pages total);
- \* Notification of, and International Preliminary Report on Patentability (Form PCT/IPEA/416 & Form PCT/IPEA/409) with replacement claims (9 pages);
- \* Unexecuted Declaration and Power of Attorney (3 pages);
- \* Preliminary Amendment Under 37 C.F.R. § 1.121(a), including Abstract (7 pages total);
- \* EFS Application Data Sheet (2 Pages)